

Attorney's Docket No. RES2000.14A

101

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application
Assistant Commissioner for Patents
Washington, D.C. 20231



### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of Inventor(s):

MARK S. FRATER

For (title):

LAMINATED ENTRY AND EXIT MATERIAL FOR DRILLING PRINTED CIRCUIT BOARDS

### 1. Type of Application

This new application is for a(n):

- X Original (nonprovisional)
- \_\_ Design
- \_\_ Plant
- Divisional
- Continuation
- Continuation of PCT designating US
- Continuation-in-part (CIP)

#### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date <u>August 30, 2001</u> in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EL389422120US</u> addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

John P. O'Banion

(Type or print name of person mailing paper)

(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein has the number of the "Express Mail" label placed thereon prior to mailing. 37 CFR 1.10(b).

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2.	Papers CFR 1.	Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 153 (Design) Application
	<u>12</u>	Pages of specification
	_8_	Pages of claims
	_1_	Pages of Abstract
	_2	Sheets of drawing
		X formal
		informal
		The enclosed drawing(s) include photograph(s), and there is also attached a "PETITION TO ACCEPT PHOTOGRAPH(S) AS DRAWING(S)." 37 C.F.R. 1.84(b).
3.	Additio	nal papers enclosed
		Preliminary Amendment
	_	Information Disclosure Statement
	_	Form PTO - 1449
	_	Citations
	_	Authorization of Attorney(s) to Accept and Follow Instructions from Representative
		Special Comments
		Other
4.	Declara	ation Or Oath
	_	Enclosed
		executed by:
		inventor(s)
		_ legal representative of inventor(s). 37 CFR 1.42 or 1.43.
		joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.
		this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. (See item 18 below for fee.)

6.

7.

5.

	_	Copy from a prior application (37 CFR 1.63(d)) (divisional or continuation only)
<u>X</u>	Not En	closed.
	<u>X</u>	Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). (The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently).
		Attached is a showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).
Inven	itorship S	Statement
The in	nventorshi	ip for all the claims in this application are:
<u>X</u>	The sa	me or
_	Are no	t the same. An explanation, including the ownership of the various claims at the
	time th	e last claimed invention was made,
	_	is submitted.
	_	will be submitted.
Lang	uage	
<u>X</u>	English	ו
_	non-En	nglish
		the attached translation is a verified translation. 37 CFR 1.52(d).
Assiç	nment	
<u>X</u>	An ass	ignment of the invention to: <u>R.E. SERVICES, INC.</u>
	_	is attached. A separate "ASSIGNMENT COVER LETTER ACCOMPANYING
	NEW F	PATENT APPLICATION" is also attached.
	X	will follow.

## 8. Benefit of Prior U.S. Application(s) (35 U.S.C. 119(e), 120 or 121)

NOTE: "In order for an application to claim the benefit of a prior filed copending national application, the prior application must name as an inventor at least one inventor named in the later filed application and disclose the named inventor's invention claimed in at least one claim of the later filed application in the manner provided by the first paragraph of 35 U.S.C. 112." 37 CFR 1.78(a).

NOTE: "In addition, the prior application must be (1) complete as set forth in § 1.51, or (2) entitled to a filing date as set forth in § 1.53(b) and include the basic filing fee set forth in § 1.16, or (3) entitled to a filing date as set froth in § 1.53(b) and have paid therein the processing and retention fee set forth in § 1.21(l) within the time set forth in § 1.53(d)." 37 CFR 1.78(a).

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending provisional applications must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior provisional application, identifying it as a provisional application, and including the provisional application number (consisting of the series code and serial number) and fling date." 37 CFR 1.78(a)(4).

NOTE: "Any nonprovisional application claiming the benefit of one or more prior filed copending nonprovisional applications or international applications designating the United States of America must contain or be amended to contain in the first sentence of the specification following the title a reference to each such prior application, identifying it by application number (consisting of the series code and serial number) and filing date or international application number and international filing date and indicating the relationship of the applications. Cross-references to other related applications may be made where appropriate. (See §1.14(b))." 37 CFR 1.78(2).

- X Applicant(s) hereby claim(s) the benefit of the filing date of prior U.S. Application Serial No. 60/290,594 filed on MAY 11, 2001
  - (a) Application History (title as originally filed and as last amended, serial number, and filing date of all prior applications):

Title: LAMINATED ENTRY AND EXIT MATERIAL FOR DRILLING PRINTED

**CIRCUIT BOARDS** 

Ser. No.: 60/290,594 Filed: MAY 11, 2001

(b) Name of applicant(s) (as originally filed and as last amended), and current correspondence address of applicant(s):

Name: MARK S. FRATER

Address: 9556 SPRINGFIELD WAY

STOCKTON, CA 95212

NOTE: The proper reference to a prior filed PCT application which entered the U.S. national phase is the U.S. serial number and the filing date of the PCT application which designated the U.S.

NOTE: (1) Where the application being transmitted adds subject matter to the International Application then the filing can be as a continuation-in-part or (2) it is desired to do so for other reasons, then the fling can be as a continuation.

NOTE: The deadline for entering the national phase in the U.S. for an international application was clarified in the Notice of April 28, 1987 (1079 O.G. 32 to 46) as follows:

"The Patent and Trademark Office considers the international application to be pending until the 22nd month from the priority date if the United States has been designated and no Demand for International Preliminary Examination has been filed prior to the expiration of the 19th month from the priority date and until the 32nd month from the priority date if a Demand for International Preliminary Examination which elected the United States of America has been filed prior to the expiration of the 19th month from the priority date, provided that a copy of the international application has been communicated to the Patent and Trademark Office within the 20 or 30 month period respectively. If a copy of the international application has not been communicated to the Patent and Trademark Office within the 20 or 30 month period, respectively, the international application becomes abandoned as to the United States 20 or 30 months from the priority date, respectively. These periods have been placed in the rules as paragraph (h) of § 1.494 and paragraph (i) of § 1.495. A continuing application under 35 U.S.C. 365(c) and 120 may be filed anytime during the pendency of the international application."

9.	Priority Claim for Prior Application (35 U.S.C. 119)
	The prior U.S. application(s), including any prior International Application designating the U.S. identified above in item 8, in turn itself claim(s) foreign priority (ies) as follows:
(country)	(appin. no.) (filed on)
(country)	(appin. no.) (filed on)
(country)	(appln. no.) (filed on)
The ce	tified copy (ies)
	is (are) attached.
	has (have) been filed on in prior application serial number which was filed on
	will follow.
WARNI	G: The certified copy of the priority application which may have been communicated to the PTO by the International Bureau may <u>not</u> be relied on without the need to file a certified copy of the priority application <u>in a continuing application</u> . This is so because the certified copy of the priority application communicated by the International Bureau is placed in a folder and is not assigned a U.S. serial number unless the national stage is entered. Such folders are disposed of if the national stage is not entered. Therefore, such certified copies may not be available if needed later in the prosecution of a continuing application. An alternative would be to physically remove the

### 10. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

April 28, 1987 (1079 O.G. 32 to 46).

NOTE: "If the continuation, continuation-in-part, or divisional application is filed by less than all the inventors named in the prior application, a statement <u>must</u> accompany the application when filed requesting deletion of the names of the person or persons who are not inventors of the invention being claimed in the continuation, continuation-in-part, or divisional application." 37 CFR 1.62(a) [emphasis added] (dealing with the file wrapper continuation situation).

priority documents from the folders and transfer them to the continuing application. The resources required to request transfer, retrieve the folders, make suitable record notations, transfer the certified copies, enter and make a record of such copies in the continuing application are substantial. Accordingly, the priority documents in folders of international applications which have not entered the national stage may not be relied on. Notice of

NOTE: "In the case of a continuation-in-part application which adds and claims additional disclosure by amendment, an oath or declaration as required by § 1.63 must be filed. In those situations where a new oath or declaration is required due to additional subject matter being claimed, additional inventors maybe named in the continuing application. In a continuation or divisional application which discloses and claims only subject matter disclosed in a prior application, no additional oath or declaration is required and the application must name as inventors the same or less than all the inventors in the prior application." 37 CFR 1.60(c). (dealing with the continuation situation).

(complete applicable item (a) or (b) below)

(a)	<u>X</u>	This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventor(s) in this application are
		X the same
		less than those named in the prior application and it is requested that the following inventor(s) identified above for the prior application be deleted:
		Name:
		Name:
		Name:
(b)		This application discloses and claims additional disclosure and a new declaration or oath is being filed. With respect to the prior application whose particulars are set out above, the inventors in this application are
		the same
		add the following inventors
		Name:
		Name:
		Name:
11.	Maint	enance of Copendency of Prior Application
NOTE	: The PT papers	O finds it useful if a copy of the petition filed in the prior application extending the term for response is filed with the constituting the fling of the continuation application. Notice of November 5, 1985 (1060 O.G. 27).
<del></del>	Exten	sion of time in prior application
	(This set in	tem must be completed and the necessary papers filed in the prior application if the period he prior application has run)
		A petition, fee and response has been filed to extend the term in the prior application until
		A copy of the petition for extension of time in the prior application is attached.
	(comp	ete this item and file conditional petition in prior application if previous item not applicable)
	Condi	ional Petition For Extension Of Time In Prior Application
	*****	A conditional petition for extension of time is being filed in the pending prior application.

### 12. Abandonment of Prior Application (if applicable)

Please abandon the prior application at a time while the prior application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said prior application.

NOTE: According to the Notice of May 13, 1983, (103, TMOG 6-7), the filing of a continuation or continuation-in-part application is a proper response with respect to a petition for extension of time or a petition to revive and should include the express abandonment of the prior application conditioned upon the granting of the petition and the granting of a filing date to the continuing application.

NOTE: "A registered attorney or agent acting under the provisions of § 1.34(a), or of record, may also expressly abandon a prior application as of the filing date granted to a continuing application when filing such a continuing application." 37 CFR 1.138.

# 13. Petition For Suspension Of Prosecution For The Time Necessary To File An Amendment (if applicable)

WARNING:

"The claims of a new application may be finally rejected in the first Office Action in those situations where (1) the new application is a continuing application of, or a substitute for, an earlier application, and (2) all the claims of the new application (a) are drawn to the same invention claimed in the earlier application, and (b) would have been properly rejected on the grounds of art of record in the next Office Action if they had been entered in the earlier application." MPEP § 706.07(b).

NOTE:

Where it is possible that the claims on file will give rise to a first action final for this continuation application and for some reason an amendment cannot be filed promptly (e.g., experimental data is being gathered) it may be desirable to file a petition for suspension of prosecution for the time necessary.

(check the next item, if applicable)

There is provided herewith a Petition to Suspend Prosecution For The Time Necessary To File An Amendment (New Application Filed Concurrently)

### 14. Notification in Parent Application of this Filing (if applicable)

A notification of the filing of this application is being filed in the parent application from which this application claims priority under 35 U.S.C. 120.

16.

# 15. Fee Calculation (37 CFR 1.16)

	CI	_AIMS A	S FILED	)			
Numb	per filed	Num	ber Extra	a	Rate		Basic Fee \$ 740.00
Total							
Claims 37 CFR 1.16(c)	40 - 20	=	20	Х	\$18.00	=	360.00
Independent							
Claims (37 CFR 1.16(b))	5 - 3	=	2	Х	\$84.00	=	168.00
Multiple dependent claim(s),		***************************************				·	
if any (37 CFR 1.16(d))				+	\$280.00	=	***************************************
A							
_ Amendment of	canceling extra	laims e	nclosed.				
_ Amendment of	deleting multiple	-depend	lencies e	nclosed			
Egg for outro	alainea ia watikat						

			***************************************
_	Amendment canceling extra claims enclosed.		
	Amendment deleting multiple-dependencies enclos	ed.	
	Fee for extra claims is not being paid at this time.		
	Filing Fee	Calculation	\$ <u>1,270.00</u>
B	Design application (\$330.00 - 37 CFR 1.16(f))		
	Filing Fee Calculation		\$
c	Plant application (\$510.00 - 37 CFR 1.16(g))		
	Filing Fee Calculation		\$
Small	Entity Statement(s)		
<u>X</u>	Applicant qualifies as a small entity under 37 CFR 1	.9 and 1.27	
	Status as a small entity was claimed in prior appl filed on, from which application under 35 U.S.C. 119(e), 120, 121 or 36 is still proper and desired. A copy of the verified included.	ch benefit is being 5(c) and which status	claimed for this as a small entity
	Filing Fee Calculation (50% of A, B or C above)		\$635.00

17.	Requ	est for	International-Type Search (37 CFR 1.104(d))	
	_	Pleas	se prepare an international-type search report for this approach nal examination on the merits takes place.	lication at the time wher
18.	Fee f	Payment	t Being Made At This Time	
	X		Enclosed	
		<u>X</u>	No filing fee is to be paid at this time. (This and the surch	narge required by 37 CFR
			1.16(e) can/will be paid subsequently.)	
	_	Enclo	psed	
		_	basic filing fee	\$
		_	recording assignment (\$40.00; 37 CFR 1.21(h))	\$
		-	petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. (\$130.00; 37 CFR 1.47 and 1.17(h))	\$
		_	for processing an application with a specification in a non-English language. (\$130.00; 37 CFR 1.52(d) an 1.17(k))	\$
		_	processing and retention fee (\$130.00; 37 CFR 1.53(d) and 1.21(I))	\$
		_	fee for international-type search report. (\$40.00; 37 CFR 1.21(e))	\$
			Total Fees Enclosed	\$
19.	Meth	od of Pa	ayment of Fees	
			k in the amount of \$	
	_	Charç A dup	ge Account No in the amount of \$  Dicate of this transmittal is attached.	
20.	Auth	orizatioı	n to Charge Additional Fees	
	_	The (	Commissioner is hereby authorized to charge the followir r and during the entire pendency of this application to Accou	ng additional fees by this int No;
		_	37 CFR 1.16(a), (f) or (g) (filing fees)	
		_	37 CFR 1.16(b), (c) and (d) (presentation of extra claims	)

_	37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a
	date later than the filing date of the application)

- \_ 37 CFR 1.18 (application processing fees)
- \_\_ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

### 21. Instructions As To Overpayment

\_\_ credit Account No. \_\_\_\_

X refund

# 22. Incorporation By Reference of Papers Identified Herein

Applicant(s) hereby incorporate(s) by reference all papers which are identified in this New Application Transmittal.

## 23. Correspondence Address

Please use the following correspondence address for all communications:

John P. O'Banion, Reg. No. 33,201 O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814 (916) 498-1010

Dated: <u>August 30, 2001</u>.

John P. O'Banion, Reg. No. 33,201

SIGNATURE OF ATTORNEY

CERTIFICATE OF Applicant(s): MARK S.	MAILING BY "EXPRESS I FRATER	MAIL" (37 CFR 1.10)	Docket No. RES2000.14A				
Serial No.	Filing Date	Examiner	Group Art Unit				
Invention: LAMINATE CIRCUIT BO	D ENTRY AND EXIT MATERIA	L FOR DRILLING PRINTED					
I hereby certify that the DRAWING SHEETS (	e following correspondence: Sheet 1 & 2)						
	(Identify type	of correspondence)					
is being deposited wit	h the United States Postal Servi	• •	ddressee" service under				
	velope addressed to: The Assista						
		int Commissioner for Faterits, wa	Shington, D.C. 20231 on				
August 3 (Date	· · · · · · · · · · · · · · · · · · ·						
		JOHN P. O'BAN (Typed or Printed Name of Person Mai					
adis mang		(Typea or Frincea paine of Ferson Mai	ung Corresponuence)				
Binn,	<del></del>	(Signature of Person Mailing Co	- prrespondence)				
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	("Express Mail" Mailing Label Number)						
	Note: Lacn paper must na	eve its own certificate of mailing.					
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Approved for use through 10/31/2002 OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor FRATER, MARK S.

Title LAMINATED ENTRY AND EXIT MATERIAL FOR DRILLING PRINTED CIRCUIT BOARDS

Atty Docket Number

RES2000.14A

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 30, 2001

Date

Signature

JOHN P. O'BANION

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 This form is to take 6 minutes to complete. This time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231

CERTIFICATE OF Applicant(s): MARK S.	MAILING BY "EXPRESS I FRATER	MAIL" (37 CFR 1.10)	Docket No. RES2000.14A
Serial No.	Filing Date	Examiner	Group Art Unit
Invention: LAMINATE CIRCUIT BO	D ENTRY AND EXIT MATERIA DARDS	L FOR DRILLING PRINTED	
I hereby certify that the	e following correspondence:		
REQUEST AND CERT	CIFICATION UNDER 35 U.S.C. 1		
	the United States Postal Service and the United States Postal Service elope addressed to: The Assistan		
August 30		The common of the como, we	omigion, <i>D</i> .o. 2020 For
August 36 (Date)		JOHN P-O'BAN	ION
The state of the s		(Typed or Printed Name of Person Mail	
幕		(Signature of Person Mailing Co EL389422120U	· ,
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Note: Each paper must have its own certificate of mailing.

CERTIFICATE OF M Applicant(s): MARK S. FI	AILING BY "EXPRESS I RATER	MAIL" (37 CFR 1.10)	Docket No. RES2000.14A
Serial No.	Filing Date	Examiner	Group Art Unit
nvention: LAMINATED	ENTRY AND EXIT MATERIA	L FOR DRILLING PRINTED CI	RCUIT BOARDS
	ollowing correspondence:  1 thru 12); CLAIMS (Page 13 th	hru 20); ABSTRACT (Page 21)	
37 CFR 1.10 in an envel	he United States Postal Servic	of correspondence) The "Express Mail Post Office to A The Commissioner for Patents, Wa	
August 30, 2 (Date)		JOHN P. O'BAN (Typed or Printed Name of Person Mail (Signature of Person Mailing Co. EL389422120U ("Express Mail" Mailing Labo	ing Correspondence) rrespondence)

Note: Each paper must have its own certificate of mailing.